Sheet 1

of 4

PTO/SB/08A (04-07) Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10002-701.407

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/658,449 Filing Date September 9, 2003 INFORMATION DISCLOSURE First Named Inventor Mark Reiley STATEMENT BY APPLICANT Art Unit 3738 (Use as many sheets as necessary) **Examiner Name** ISABELLA, DAVID J

Attorney Docket Number

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	406	^{US-} 2,502,902	4/4/1950	Tofflemire, Benjamin	
	405	^{US-} 3,710,789	1/16/1973	Ersek, Robert	
	442	^{US-} 3,941,127	3/2/1976	Froning	
	403	^{US-} 4,502,161	3/5/1985	Wall, William	
	431	^{US-} 4,554,914	11/26/1985	Kapp et al.	
	432	^{US-} 4,697,582	10/6/1987	William	
	433	^{US-} 4,957,495	9/18/1990	Kluger	
-	434	^{US-} 5,413,576	5/9/1995	Rivard	
	430	^{US-} 5,415,659	5/16/1995	Lee et al.	
	404	^{US-} 5,443,483	8/22/1995	Kirsch, Axel	
	444	^{US-} 7,302,288	11/27/2007	Schellenberg	
	436	^{US-} 2002/0068975-A1	6/6/2002	Teitelbaum et al.	
	445	^{US-} 2004/0260305-A1	12/23/2004	Gorensek et al.	
		US-			

		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6

Examiner	Date	
Signature	Consid	lered
-		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Receipt date: 04/25/2008

10658449 - GAU: 3738

PTO/SB/08B (04-07)

Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	order atom and readmant onto, order per rathment of oddiniertoe	•
Under the Paperwork Reduction Act of 1995, no persons are	e required to respond to a collection of information unless it contains a valid OMB control number.	
stitute for form 1449/PTO	Complete if Known	•
		-

Substitu	te for form 1449/PTO				Complete if Known
				Application Number	10/658,449
INF	ORMATION	DIS	CLOSURE	Filing Date	September 9, 2003
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Mark Reiley
	(Use as many she	ets as n	ecessary)	Art Unit	3738
	(OSC US Many SNC	.013 43 11		Examiner Name	ISABELLA, DAVID J
Sheet	2	of	4	Attorney Docket Number	10002-701.407

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	378	REILEY et al; U.S. Pat. App. # 11/577,923 entitled "Crossbar spinal prosthesis having a modular design and systems for treating spinal pathologies" filed 4/25/2007.	
	398	McLEER, THOMAS, U.S. Pat. App. # 11/934,724 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	399	McLEER, THOMAS, U.S. Pat. App. # 11/934,720 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	400	McLEER, THOMAS, U.S. Pat. App. # 11/934,719 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	401	REILEY, MARK, U.S. Pat. App. #11/934,713 entitled "Facet arthroplasty devices and methods" filed 11/2/2007.	
	402	REILEY, MARK, U.S. Pat. App. # 11/939,540 entitled "Facet arthroplasty devices and methods" filed 11/13/2007.	
	408	REILEY, MARK, U.S. Pat. App. # 11/943,458 entitled "Facet arthroplasty devices and methods" filed 11/20/2007.	
	409	REILEY, MARK, U.S. Pat. App. # 11/949,007 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.	
	410	REILEY, MARK, U.S. Pat. App. # 11/949,000 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.	
	411	REILEY et al.; U.S. Pat. App. # 11/948,963 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 11/30/2007.	

Examiner	Date	
Signature	Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt date: 04/25/2008

10658449 - GAU: 3738

PTO/SB/08B (04-07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

	ite for form 1449/PTO	***************************************			Complete if Known
Gustina	10 10 10 11 14 10 17			Application Number	10/658,449
INF	ORMATION	DIS	CLOSURE	Filing Date	September 9, 2003
STA	ATEMENT E	BY A	PPLICANT	First Named Inventor	Mark Reiley
	(Use as many she	ets as n	ecessary)	Art Unit	3738
	(ose as many sne	.013 43 11	cocssary	Examiner Name	ISABELLA, DAVID J
Sheet	3	of	4	Attorney Docket Number	10002-701.407

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	412	REILEY, MARK, U.S. Pat. App. # 11/957,208 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	413	REILEY et al.; U.S. Pat. App. # 11/957,315 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	414	REILEY, MARK; U.S. Pat. App. # 11/957,175 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	415	REILEY et al.; U.S. Pat. App. # 11/957,290 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	416	REILEY, MARK; U.S. Pat. App. # 11/956,961 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	417	REILEY, MARK; U.S. Pat. App. # 11/957,149 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	418	REILEY, MARK; U.S. Pat. App. # 11/957,061 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.	
	419	REILEY et al.; U.S. Pat. App. # 11/957,259 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.	
	420	REILEY, MARK; U.S. Pat. App. # 12/016,177 entitled "Facet arthroplasty devices and methods" filed 01/17/2008.	,
	421	KUIPER et al.; U.S. Pat. App. # 11/948,994 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	

Examiner		Date	
Signature	·	Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicants to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

PTO/SB/08B (04-07)

Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	der the Paperwork Red te for form 1449/PTO	uction A	ct of 1995, no persons ar	re required to respond to a collection	of information unless it contains a valid OMB Complete if Known	control number.
Cubstitu	10 10 10 11 1443/1-10			Application Number	10/658,449	
INF	ORMATION	DIS	CLOSURE	Filing Date	September 9, 2003	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Mark Reiley	
	(Use as many she	ate se i	acessari)	Art Unit	3738	
	(Ose as many sne	.0.0 03 /	recessary)	Examiner Name	ISABELLA, DAVID J	
Sheet	4	of	4	Attorney Docket Number	10002-701.407	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	422	KUIPER et al.; U.S. Pat. App. # 11/948,973 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	
	423	KUIPER et al.; U.S. Pat. App. # 11/957,303 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007	
	424	McLEER, THOMAS; U.S. Pat. App. # 11/952,988 entitled "Polymeric joint complex and methods of use" filed 12/7/2007	
	429	YUAN et al.; U.S. Pat. App. # 12/027,899 entitled "Prostheses, tools and methods for replacement of natural facet joints with artificial facet joint surfaces," filed 2/7/2008	
	452	REILEY et al; U.S. Pat. App. # 12/058,403 entitled "Polyaxial adjustment of facet joint prostheses," filed 3/28/2008.	
· · · · · ·			

Examiner	/Christopher Prone/	Date	00/01/000
Signature	/Onnstopher i tone/	Considered	02/24/2009

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.